

GP/2152#kf

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard D. Dettinger et al. : Date: February 4, 2002  
Group Art Unit: 2152 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/871,929 : Dept. 917, Bldg. 006-1  
Confirmation No.: 1395 : 3605 Highway 52 North  
Filed: June 1, 2001 : Rochester, MN 55901  
Title: PERVASIVE, DISTRIBUTED  
PROVISION OF SERVICES SUCH  
AS PRODUCT BROKERAGE



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

Serial No. 09/871,929  
Docket No. ROC920010022US1

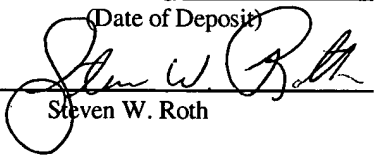
37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

February 4, 2002

(Date of Deposit)

  
Steven W. Roth

Respectfully submitted,

RICHARD D. DETTINGER ET AL.

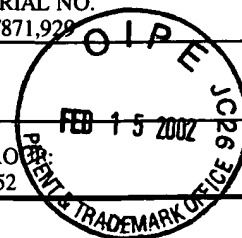
By   
Steven W. Roth

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920010022US1SERIAL NO.  
09/871,929APPLICANT:  
Richard D. Dettinger et al.FILING DATE:  
June 1, 2001GROSS  
2152

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA	5	6	7	3	3	2	2	09/30/97	David Mathew Pepe et al.	380	49	
	AB	5	7	2	7	1	5	9	03/10/98	Dan Kikinis	395	200.76	
	AC	5	7	5	8	0	8	8	05/26/98	David L. Bezaire et al.	395	200.62	
	AD	6	0	7	6	1	0	9	06/13/00	Dan Kikinis	709	228	
	AE	6	1	6	1	1	4	0	12/12/00	Koji Moriya	709	228	
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR													
	AS													
	AT													

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.